

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

03/11/2002 SHINASS1 00000024 501781 10086941

01 FC:201	370.00 CH
02 FC:203	2286.00 CH
03 FC:202	504.00 CH
04 FC:204	140.00 CH

04/04/2002 CVORACHA 00000022 501781 10086941

01 FC:203	36.00 CH
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02/26/02
jcs903 U.S. PTO

03-0102

10/066941

Please type a plus sign (+) inside this box ☐ +
Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	BTI2 00103502(USP2)USP7X1
First Inventor	Brigitte Chau Phan
Title	METHODS FOR DNA CONJUGATION ONTO SOLID PHASE INCLUDING RELATED OPTICAL BIO-DISCS AND DISC DRIVE SYSTEMS
Express Mail Label No.	EL 923484743US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (e.g., PTO/SB/1 7)**
(Submit an original and a duplicate for fee processing)
 2. ☒ **Applicant claims small entity status.**
See 37 CFR 1.27.
 3. ☒ **Specification** [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ **Drawing(s)** (35 U.S.C. 113) [Total Sheets - 5. **Oath or Declaration** [Total Pages - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 1. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ **Application Data Sheet.** See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patent
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. **Specification Sequence Listing on:**
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(13)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 10,038,297

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name	Donald Bollella				
Address	Burstin Technologies, Inc.				
	163 Technology Drive, Suite 200				
City	Irvine, CA	State	California	Zip Code	92618
Country	United States	Telephone	(949) 453-1800	Fax	(949) 453-1818

Name (Print/Type)	Donald Bollella	Registration No. (Attorney/Agent)	35,451
Signature		Date	February 26, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Print Name

Signature

February 26, 2002

Date

PTO/SB/17 (11-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are *subject to* annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 3372.00

Complete if Known

Application Number	To be assigned
Filing Date	February 26, 2002
First Named Inventor	Brigitte Chau Phan
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	BTI2 00103502(USP2)USP7X1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-1781

Burstein Technologies, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL(1) (\$) 370.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims - below Fee Paid

Total Claims	282	-20- =	262	x	9	=	2358.00
Independent Claims	15	3- =	12	x	42	=	504.00
Multiple Dependent							140.00

Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 3002.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Donald Bollella

Registration No. (Attorney/Agent)

35,451

Complete (if applicable)

Telephone

(949) 453-1800

Signature

Date

February 26, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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February 26, 2002

VIA EXPRESS MAIL

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Re: New U.S. Patent Application
Entitled: METHODS FOR DNA CONJUGATION ONTO
SOLID PHASE INCLUDING RELATED OPTICAL
BIODISCS AND DISC DRIVE SYSTEMS
Inventors: Phan, et al.
Our File No.: BTI2 00103502(USP2)USP7X1

Dear Sir:

Enclosed for filing in the above-referenced application are the following documents:

1. Cover Letter
2. Utility Patent Application Transmittal Form (Form PTO/SB/05)
3. Fee Transmittal Form (Form PTO/SB/17)
4. Application Data Sheet (3 Pages)
5. Specification including 115 claims (Pages 1-88)
6. Formal Drawing Figures 1-45 (Sheets 1-46)
7. Declaration and Power of Attorney (3 Pages)
8. Associate Power of Attorney
9. Certificates of Express Mail No. EL 923484743US dated February 26, 2002
10. Return Postcard

Please date stamp the enclosed return postcard indicating receipt of the above-listed items and return same by regular mail.

Very truly yours,

Donald Bollella
Chief Patent Counsel
Reg. No. 35,451

DB:jc

Enclosures

I Certify that this document was submitted to the U.S.
Patent and Trademark Office using U.S. Express Mail
under 37 C.F.R. § 1.10

EL 923484743US
Express Mail Label Number

February 26, 2002
Date of Deposit

Jacquelyn Campbell
Print Name

[Signature]
Signature